

*Kauffman's clock lattice  
as a graph of perfect matchings:  
a formula for its height*

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# Outline

- 1 **Translating a knot into a graph**
  - Background from Knot Theory
  - The balanced overlaid Tait graph  $\Gamma$
  - An example and applications
- 2 **Properties of  $\Gamma$  and the graph  $\mathcal{G}$  of perfect matchings**
  - The Periphery Proposition and other properties of  $\Gamma$
  - The graph  $\mathcal{G}$  as Kauffman's clock lattice  $L$
  - Main Results
- 3 **Proofs**
  - Partition Theorem
  - $\widehat{0}, \widehat{1}$  Theorem
  - Diameter Theorem

# Combinatorics and Topology

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Translate a knot into a simple combinatorial object, employ combinatorial techniques, and translate back.

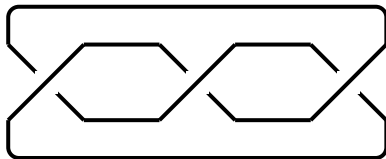
# Background from Knot Theory

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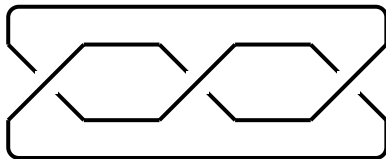
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**Theorem:** (Reidemeister 1926)

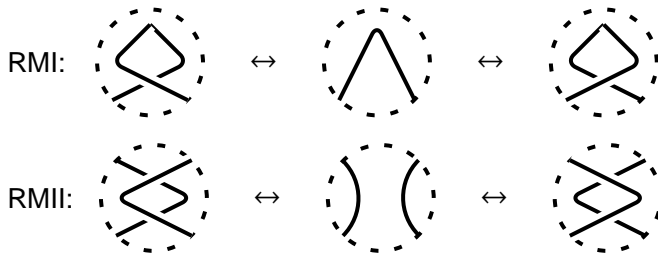
Two diagrams represent the same knot  $\Leftrightarrow$  there is a sequence of Reidemeister moves taking one to the other.



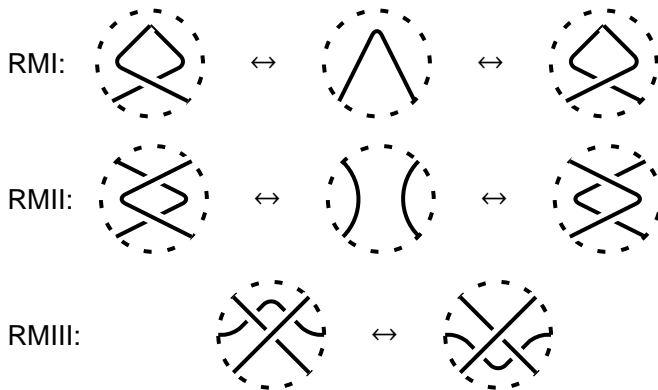
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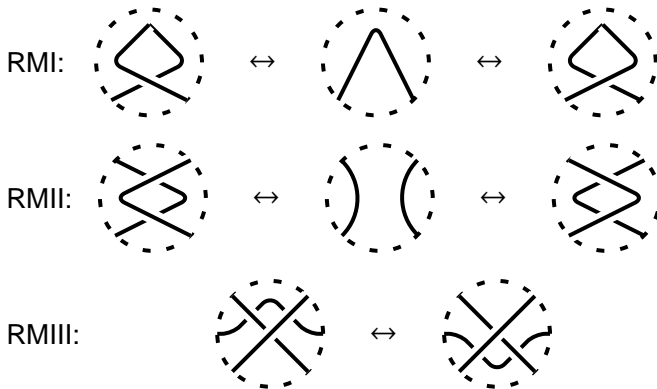
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A **knot invariant** is an evaluation on a knot diagram that is constant under each of the three *Reidemeister moves*.

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We assume our knot diagrams have no nugatory crossings.

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Note that the dual  $G^*$  comes from the uncolored regions.

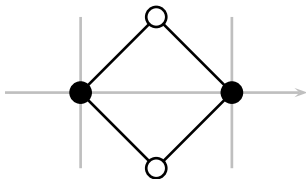
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$E(\widehat{\Gamma})$  the half-edges of  $G$  and  $G^*$ .



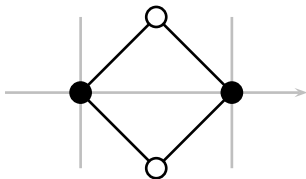
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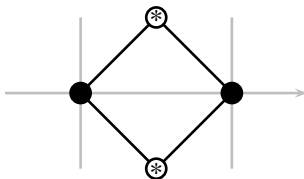


Each face in the overlaid Tait graph  $\widehat{\Gamma}$  is a square.

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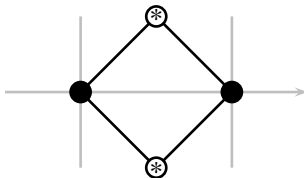
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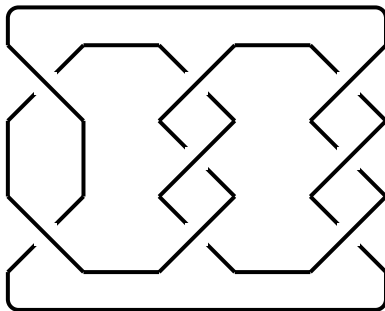
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“Balanced” means the two vertex sets are the same size.

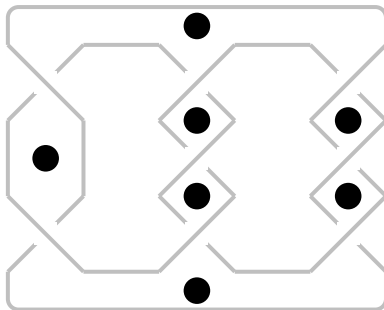
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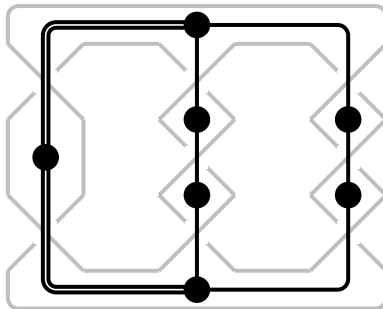


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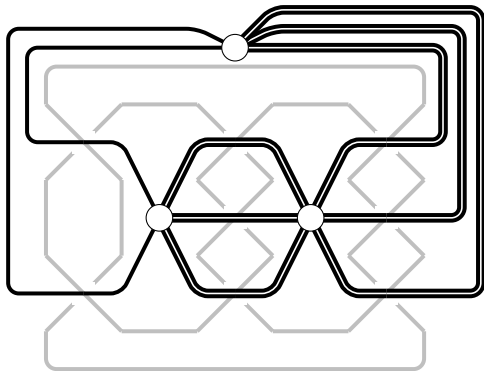
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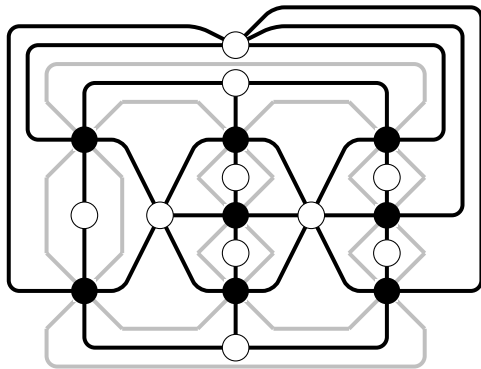
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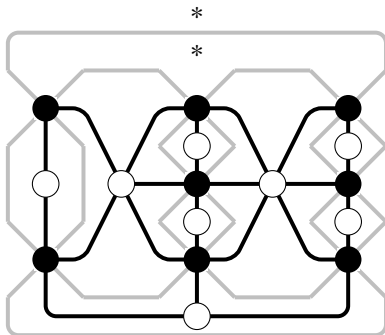
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the overlaid Tait graph  $\widehat{\Gamma}$  (all faces are square),

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- ◇ (using  $p$ -lifts) the twisted Alexander polynomial of a knot together with a representation (C-Dasbach-Russell [CDR12])

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- ◇ (Huggett-Mofatt-Virdee)  $\widehat{\Gamma}$  to study ribbon graphs from cables
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Thus every knot has a  $\Gamma$  which is a grid graph.
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- ◇ (Future work) Perfect matching models for knot homologies.

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$2|E| = 4(|F| - 1) + (1)(2(n_2 + n_3)) \quad \Rightarrow n_2 = 2. \quad \square$



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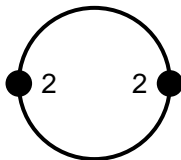
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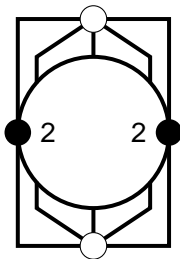
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## Definition:

A knot  $K$  is **prime** if when  $K = K_1 \# K_2$ , some  $K_i = \text{unknot}$ .  
 A knot diagram  $D$  is **prime-like** if when  $D = D_1 \# D_2$ ,  
 some  $D_i$  has no crossings.

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A bipartite graph is elementary if and only if it is connected and every edge is allowed.



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## Theorem:

The balanced overlaid Tait graph  $\Gamma$  for a prime-like knot diagram with no nugatory crossings is an elementary graph.

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A graph  $\Gamma$  is said to be ***n*-extendable** if it is connected, has a set of  $n$  independent lines, and every set of  $n$  independent lines in  $\Gamma$  extends to (i.e. is a subset of) a perfect matching of  $\Gamma$ .

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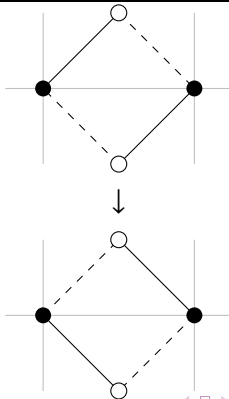
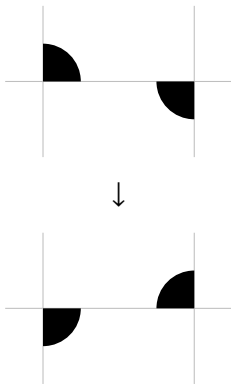
Kauffman studied a similar object to obtain  $\Delta_K(t)$ :

Kauffman [Kau83]	C-Teicher
universe $U$	balanced overlaid Tait graph $\Gamma$
state	perfect matching of $\Gamma$
clock move	(bipartite) flip move

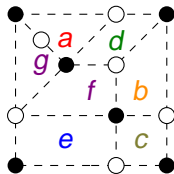
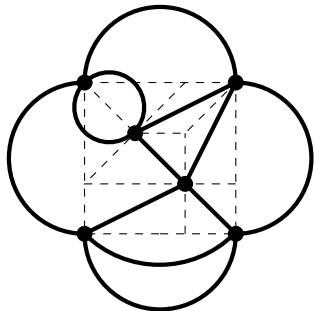
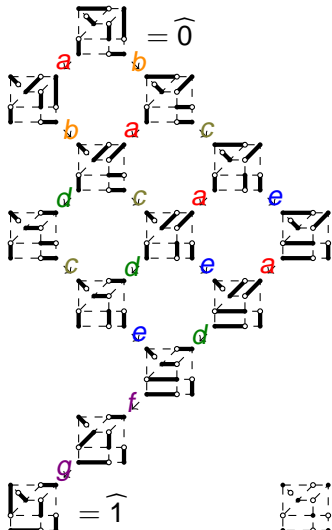


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# An example of $\mathcal{G}$ from Abe



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Hence any two states in  $\delta$  are connected by a series of state transpositions.

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## Notation:

Denote the unique minimum by  $\widehat{0}$  and the unique maximum by  $\widehat{1}$  of the connected lattice  $L$ . Let  $h$  be the height of this lattice.



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Kauffman [Kau83]	C-Teicher
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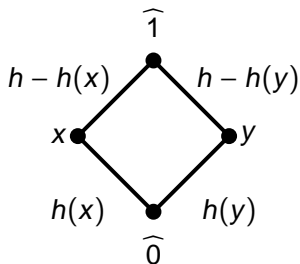
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## Theorem: (Abe 2011) [Abe11]

$p(K) \geq c(K)$ , the crossing number of  $K$   
with equality if and only if  $K$  is a 2-bridge knot.



# Partitioning the vertices of $\Gamma$

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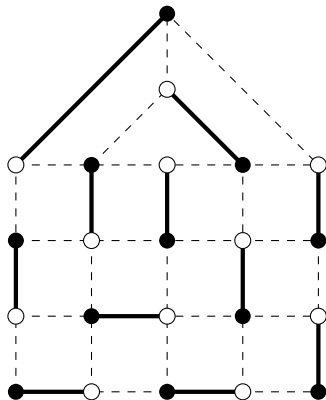
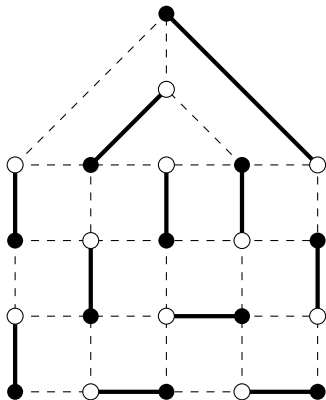
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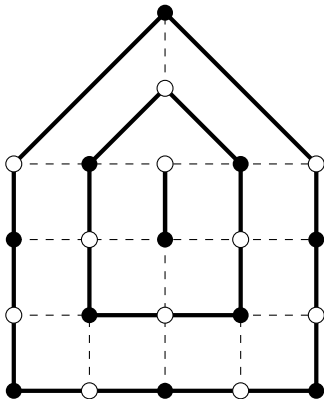
These cycles  $C_i$  emerge when the symmetric difference is taken of  $\widehat{0}$  and  $\widehat{1}$  in Kauffman's clock lattice  $L$ !

# Partitioning the vertices of $\Gamma$



The clocked and counterclocked states of a diagram for  $K11n157$ .

# Partitioning the vertices of $\Gamma$



The superposition of these two states of a diagram for  $K11n157$ .

# Partitioning the vertices of $\Gamma$

## *Partition Theorem:*

Consider the balanced overlaid Tait graph  $\Gamma$  for a prime-like knot diagram with no nugatory crossings. Then the vertices can be partitioned into leaves  $\ell \in \mathcal{L}$  and cycles  $C_i$ , where each cycle  $C_i$  satisfies the Periphery Proposition and where each interior graph  $\Gamma_i$  is elementary and 2-connected.

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A cycle/path is  $(\mu_1, \mu_2)$ -**alternating** if the edges alternate between the two matchings  $\mu_1$  and  $\mu_2$ .

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# Properties of the balanced overlaid Tait graph $\Gamma$

## *Diameter Theorem:*

Consider the balanced overlaid Tait graph  $\Gamma$ , and

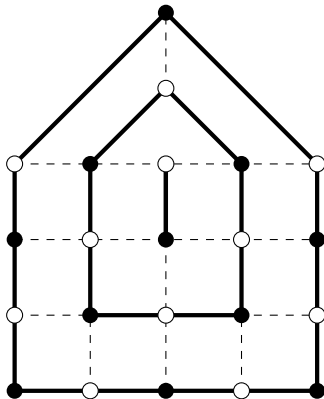
let  $s(C_i)$  be the number of square faces within interior graph  $\Gamma_i$ .

Then

$$\sum_i s(C_i) = h$$

gives the height of the clock lattice.

# An example using the diameter theorem



The height of the lattice is  $15 + 5 = 20$ .

# Proof of the Partition Theorem

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If  $C'_i$  has several components, treat each  $C'_i, C'_{i+1}, \dots$  separately.  
If  $C'_i$  is a single cycle with no cutvertices,  $C'_i = C_i$  and  $\Gamma'_i = \Gamma_i$ .

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### ***“Breaking” cutvertices:***

Suppose the deletion of  $v$  results in several components, each of which contains a cycle (with  $v$ ).

Also suppose there is exactly one component  $C^{odd}$  that has an odd number of vertices (not including  $v$ ).

Delete all edges incident with  $v$  except for those in  $C^{odd}$ .

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A face of a 2-connected plane bipartite graph is called **resonant** if its boundary is a  $\mu$ -alternating cycle w.r.t. some  $\mu$ .

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### **Theorem:** (Tutte 1947)

A graph  $G$  has a perfect matching  $\Leftrightarrow$  the number of odd components of  $G - S$  is  $|S|$  for all  $S \subset V$ .

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$\mu$  restricts to the remaining graph ( $G$ ) with  $S = \{v\}$ ,

and so there is exactly one odd component.  $\square$

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Turn  $\Gamma_i$  into a knot diagram  $D_i$ ; it is nugatory and prime-like.

Apply earlier result.  $\square$

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This can only occur when edges  $e_i$  and  $e_j$

(from  $\circ$  to  $\bullet$  on the boundary of the same square face  $f$ )

belong to  $\mu_i^0$ .

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If both  $e_i$  and  $e_j$  are leaves,  $f$  becomes a new cycle  $C_{ij}$ .  $\square$

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Induction Step: Flipping a single annulus.

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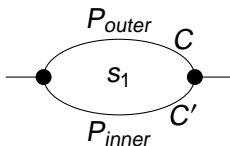
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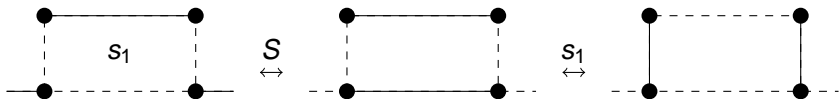
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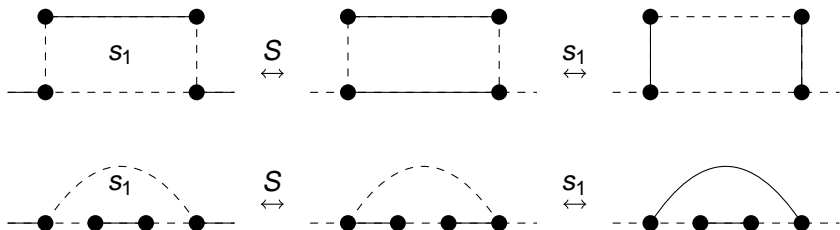
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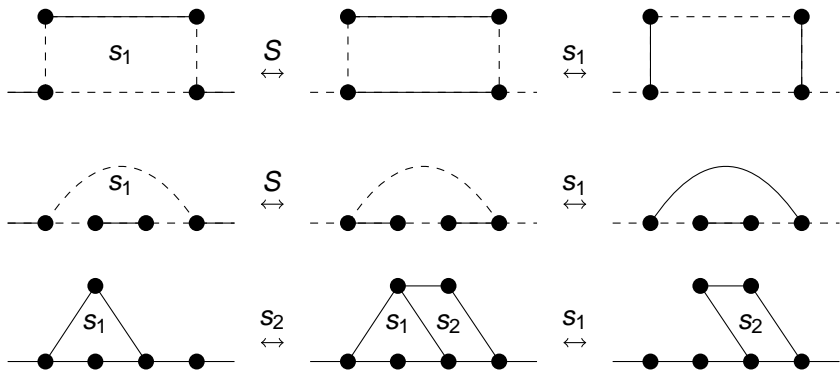
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## Reduction Moves

### *Simply Connected Reduction Move:*

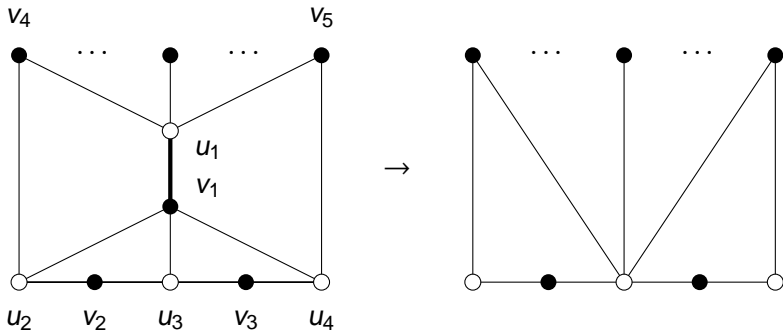
Removes a simply connected region following the proof above.

# Reduction Moves

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## Leaf Reduction Move:



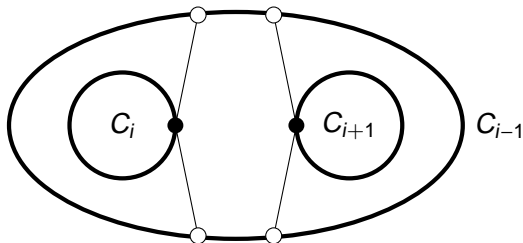
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Remove additional cycles (beyond  $C_i$ ) within a single  $C_{i-1}$ .

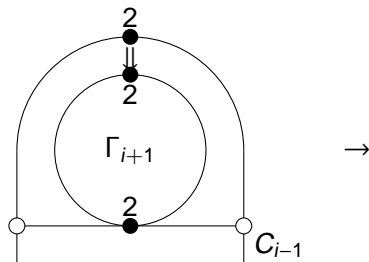
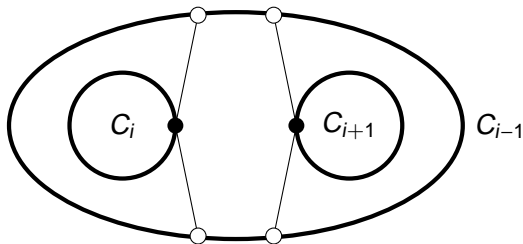
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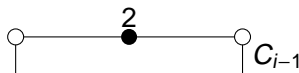
An **accordion** joins two disconnected cycles when  $C_{i-1}$  is deleted from  $\Gamma_{i-1}$ .



# Extra Cycle Reduction Moves



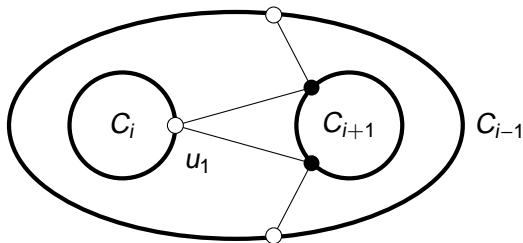
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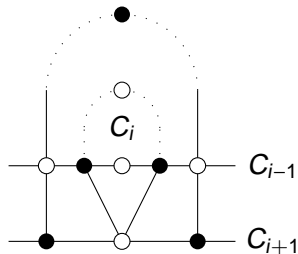
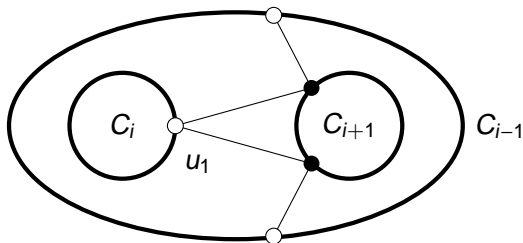
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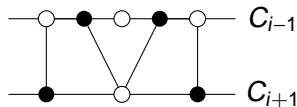
A *party hat* joins cycles separated by cutvertices when  $C_{i-1}$  is deleted from  $\Gamma_{i-1}$ .



# Extra Cycle Reduction Moves



→



**Party Hat Reduction Move:**

## Induction Step: Flipping a single annulus

### *Induction Step Lemma:*

Flipping all the square faces in  $\Gamma_{i-1} \setminus \Gamma_i$  exactly once takes the local perfect matchings of  $\mu_{i-1}^0$  and  $\mu_i^1$  to those of  $\mu_{i-1}^1$  and  $\mu_i^0$ .

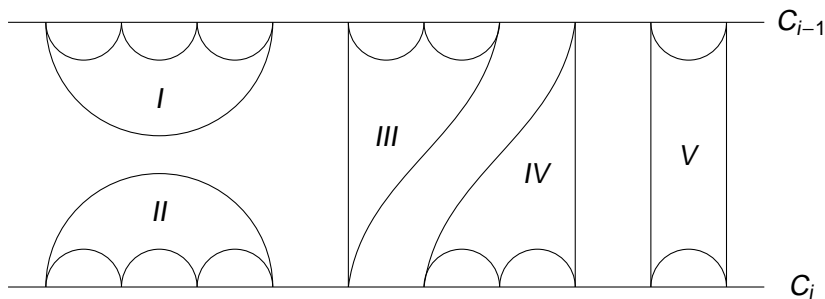


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### Proof:



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## Conjecture:

The number of cycles is related to the *bridge number* of the diagram.

This is reinforced by work of Koseleff-Pecker on Chebyshev knots.

-  Yukiko Abe, *The clock number of a knot*, arXiv:1103.0072, 2011.
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-  Louis H. Kauffman, *Formal knot theory*, Mathematical Notes, vol. 30, Princeton University Press, Princeton, NJ, 1983.
-  L. Lovász and M. D. Plummer, *Matching theory*, North-Holland Mathematics Studies, vol. 121, North-Holland Publishing Co., Amsterdam, 1986, Annals of Discrete Mathematics, 29.